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EXPRESS MARE LABEL NO.: EV 329 632 850 US

Form PTO-1449

Peter M. Allred, et al.

Applicant: Serial No.:

10/646,484

Filing Date:

August 22, 2003

Confirmation No.:

Unknown

For:

COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING

Sheet 1 of 6

Group: Unknown

Att'y Docket No.: 7678.791

AND USING SUCH COMPOSITIONS AND DEVICES

#### INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

## U.S. Patent Application Publication Documents

Examiner Initially	Patent Number	Publication <u>Date</u>	<u>Name</u>	Class	Sub <u>Class</u>	Filing <u>Date</u>
/////A1.	US 2001/0046654	11/29/01	Zavitsanos et al.	433	32	03/23/01
A2.	US 2002/0006387	01/17/02	Sagel et al.	424	53	05/24/01
A3.	US 2002/0006388	01/17/02	Sagel et al.	424	53	05/24/01
A4.	US 2002/0012685	01/31/02	Sagel et al.	424	401	05/24/01
A5.	US 2002/0018754	02/14/02	Sagel et al.	424	49	03/15/99
A6.	US 2002/0081555	06/27/02	Wiesel	433	215	02/04/02
A7.	US 2002/0164292	11/07/02	Peterson et al.	424	53	01/25/02
A8.	US 2002/0182154	12/05/02	McLaughlin	424	53	08/08/01
A9.	US 2002/0187111	12/12/02	Xu et al.	424	53	02/22/02
A10.	US 2002/0187112	12/12/02	Xu et al.	424	53	02/22/02
A11.	US 2003/0003421	01/02/03	Besenheider et al.	. 433	215	07/02/01
A12.	US 2003/0012747	01/16/03	Peterson	424	53	03/23/01
A13.	US 2003/0036037	02/20/03	Zavitsanos et al.	433	215	08/05/02
A14.	US 2003/0044631	03/06/03	Sagal et al.	428	548	08/22/02

Examiner:

Date Considered: 10-57-05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Sheet 2 of 6

COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING

AND USING SUCH COMPOSITIONS AND DEVICES

·//	<u> </u>	US 2003/0068284	04/10/03	Sagel et al.	424	53	12/17/02
	A16.	US 2003/0068601	04/10/03	Zavitsanos et al.	433	215	11/20/02
	A17.	US 2003/0082114	05/01/03	Kim et al.	424	53	02/13/01
	A18.	US 2003/0133884	07/17/03	Chang et al.	424	53	09/13/02
	A19.	US 2003/0194382	10/16/03	Chang et al.	424	53	06/20/02
	A20.	US 2003/0198606	10/23/03	Kim et al.	424	53	05/27/03
	/ II -						

#### **U.S. Patent Documents**

Examiner Initial*	Patent <u>Number</u>	Issue <u>Date</u>	<u>Name</u>	Class	Sub <u>Class</u>	Filing <u>Date</u>
////_A21.	2,835,628	05/20/58	Saffir	167	84	04/01/57
A22.	3,688,406	09/05/72	Porter et al.	32	40 R	08/07/70
A23.	Re. 33,093	10/17/89	Schiraldi et al.	424	676	11/16/88
A24.	5,112,225	05/12/92	Diesso	. 433	48	08/02/91
A25.	5,310,563	05/10/94	Curtis et al.	424	616	10/25/91
A26.	5,326,685	07/05/94	Gaglio et al.	433	215	09/14/92
A27.	5,346,061	09/13/94	Newman et al.	206	221 .	08/06/92
////A28.	5,425,953	06/20/95	Sintov et al.	424	404	04/22/92

Examiner:

Date Considered:

10-07-05

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Sheet 3 of 6

Group: Unknown

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/	1,						
/  -	///A29.	5,575,654	11/19/96	Fontenot	433	215 ,	03/15/94
/_	A30.	5,562,449	10/08/96	Jacobs et al.	433	215	04/18/95
	A31.	5,611,687	03/18/97	Wagner	433	80	11/06/95
	A32.	5,616,027	04/01/97	Jacobs et al.	433	37	04/15/96
_	A33.	5,639,445	06/17/97	Curtis et al.	424	49	01/06/94
_	A34.	5,707,235	01/13/98	Knutson	433	213	04/03/95
_	A35.	5,711,935	01/27/98	Hill et al.	424	49	05/10/94
_	A36.	5,769,633	06/23/98	Jacobs et al.	433	37	03/05/97
4	A37.	5,816,802	10/06/98	Montgomery	433	80	09/25/95
4	A38.	5,846,058	12/08/98	Fischer	433	216	09/26/96
4	A39.	5,863,202	01/26/99	Fontenot et al.	433	215 .	08/12/96
1	A40.	5,879,691	03/09/99	Sagel et al.	429	401	06/06/97
+	A41.	5,891,453	04/06/99	Sagel et al.	424	401	03/17/98
+	A42.	5,894,017	04/13/99	Sagel et al.	424 ,	401	06/06/97
+	A43.	5,924,863	07/20/99	Jacobs et al.	433	80	06/11/97
7	A44.	5,980,249	11/09/99	Fontenot	433	80	06/11/98
//	A45.	5,989,569	11/23/99	Dirksing et al.	424	401	06/06/97

Examiner

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Sheet 4 of 6

COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING

AND USING SUCH COMPOSITIONS AND DEVICES

1.0	1110 001						
///A46.	6,045,811	04/04/00	Dirksing et al.	424	401	06/06/97	
A47.	6,096,328	08/01/00	Sagel et al.	424	401	11/19/98	
A48.	6,106,293	08/22/00	Wiesel	433	215	12/04/98	
A49.	6,126,443	10/03/00	Burgio	433	215	12/21/98	
A50.	6,136,297	10/24/00	· Sagel et al.	424	49	03/17/98	
A51.	6,142,780	11/07/00	Burgio	433	80	06/15/99	
A52.	6,155,832	12/05/00	Wiesel	433	215	10/08/99	
A53.	6,183,251	02/06/01	Fischer	433	48	02/26/99	
A54.	6,197,331	03/06/01	Lerner et al.	424	448	07/24/97	
A55.	6,247,930	06/19/01	Chiang et al.	433	80	09/29/99	
A56.	6,274,122	08/14/01	McLaughlin	424	53	05/17/99	
A57.	6,277,458	08/21/01	Dirksing et al.	424	42.3	03/15/99 .	
A58.	6,280,196	08/28/01	Berghash	433	215	10/16/00	
A59.	6,287,120	09/11/01	Wiesel	433	215	03/27/00	
A60.	6,322,360	11/27/01	Burgio	433	80	10/22/99	
A61.	6,343,932	02/05/02	Wiesel	433	215	11/13/00	
A62.	6,419,903	07/16/02	Xu et al.	424	49	08/20/01	
1/		~			\		

Examiner:

Date Considered: 10.27-05

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Sheet 5 of 6

Group: Unknown

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Peter M. Allred, et al.

Serial No.:

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For:

COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR

DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING AND USING SUCH COMPOSITIONS AND DEVICES

A63.	6,419,906	07/16/02	Xu et al.	424	53	03/12/01	
A64.	6,435,873	08/20/02	Burgio	433	80	10/10/00	
A65.	6,440,396	08/27/02	McLaughlin	424	49	02/29/00	
A66.	6,458,380	10/01/02	Leaderman	424	443	11/09/00	
A67.	6,461,158	10/08/02	Sagel et al.	433	30	08/14/00	
A68.	6,497,575	12/24/02	Zavitsanos et al.	433	215	11/21/01	
A69.	6,500,408	12/31/02	Chen	424	53	01/27/01	
A70.	6,503,486	01/07/03	Xu et al.	424	53	02/22/02	
A71.	6,506,053	01/14/03	Wiesel	433	215	02/04/02	
A72.	6,514,483	02/04/03	Xu et al.	424	53	02/22/02	
A73.	6,514,484	02/04/03	Rajaiah et al.	424	53	02/28/02	
A74.	6,551,579	04/22/03	Sagel et al.	- 424	53	05/29/01	
//"	·						

Examiner:

Date Considered: 10 27-05

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Form PTO-1449

Sheet 6 of 6

Group: Unknown

Att'y Docket No.: 7678.791

Applicant:

Peter M. Allred, et al.

Serial No.:

For:

10/646,484

Filing Date:

August 22, 2003

Confirmation No.:

Unknown

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COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR

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#### References Cited by Applicants

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EXPRESS MAIL LABEL NO.: EV 384064465 US

Form PTO-1449

Sheet 1 of 1

Applicant:

Peter M. Allred, et al.

Serial No.:

10/646,484

Filing Date:

August 22, 2003

Att'y Docket No.: 7678.791 Group: 3749

prisemation No.:

9447

COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE

CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND

METHODS OF MANUFACTURING SUCH COMPOSITIONS AND

**DEVICES** 

## INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

#### U.S. Patent Documents

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## References Cited by Applicants

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Examiner Date Considered: 10-27-05

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EXPRESS MAIL LABEL NO.: EV 443087725 US

Form PTO-1449

Applicant:

Peter M. Allred, et al.

Serial No.:

10/646,484

Filing Date:

For:

August 22, 2003

Confirmation No.:

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COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION

Sheet 1 of 2

Group: 3749

Att'y Docket No.: 7678.791

FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF

MANUFACTURING SUCH COMPOSITIONS AND DEVICES

#### SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

	1			
	1/1	<u>U.S.</u>	Patent Documents	,
	<u>//</u> 1\	4,900,721	2/13/90	Bansemir
	2	5,008,093	04/16/91	Merianos
—	_ 3	5,108,742	04/28/04	Merianos
	4	5,183,901	02/02/93	Login et al.
_	5	6,080,397	06/27/00	Pfirrmann
\ <del>-</del>	6	6,689,344	2/10/04	Chang et al.
	7	6,730,316	05/04/2004	Chen

## References Cited by Applicants

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EXPRESS MAIL LABEL NO.: EV 443087725 US

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Applicant:

Peter M. Allred, et al.

Serial No.: Filing Date:

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COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION

Sheet 2 of 2

Group: 3749

Att'y Docket No.: 7678.791

FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF

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Date Considered:

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EXPRESS MAIL LABEL NO.: EV 443087963 US

Form PTO-1449

Applicant:

Peter M. Allred, et al.

Serial No.: Filing Date: 10/646,484 August 22, 2003

Confirmation No.:

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For:

COMPOSITIONS AND DEVICES TAVING A TRAY-LIKE

CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND

Sheet 1 of 1

Group: 3749

Att'y Docket No.: 7678.791

METHODS OF MANUFACTURING SUCH COMPOSITIONS AND

**DEVICES** 

## INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

#### Other Documents

(including author, title, pertinent pages, etc.)

Examiner Initial\*

Technical Bulletin: Hydrogen Peroxide-Polyvinylpyrrolidone Polymer Complexes, International Specialty Products, 1361 Alps Road, Wayne New Jersey 07470, www.ispcorp.com (Dec. 2003)

### References Cited by Applicants

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Peter M. Allred et al.

Applicant: Scrial No.:

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August 22, 2003

Att'y Docket No.: 7678.791

Group: 3749

Sheet 1 of 3

Filing Date: For:

Compositions and devices having a tray-like configuration for delivering an oral medicament and methods of manufacturing and using such compositions and devices

## INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

#### U.S. Patent Documents

Examiner Initial*	Patent <u>Number</u>	Issue <u>Dato</u>	<u>Name</u>	Class	Sub <u>Class</u>	Filing Date
A Al	165,584	07/13/1875	Hopfen	•		06/10/1875
A2	2. 1,637,153	07/26/27	Lawton	••	•••	10/23/26
A3	2,257,709	09/30/41	Anderson	128	260	10/14/38
A4	1. 3,339,547	09/05/67	Drabkowski	128	260	11/27/64
A5	5. 3,527,219	09/08/70	Greenberg	128	260	08/21/67
A	5. 3,577,640	05/04/71	Lee	32	32	10/08/69
A7	7. 3,624,909	12/07/71	Greenberg	32	40	05/26/70
A8	3,955,281	05/11/76	Weitzman	32	14 B	12/05/74
A9	4,044,762	08/30/77	Jacobs	128	136	08/23/76
A1	0. 4,063,552	12/20/77	Going et al.	128	136	04/02/76
A1	1. 4,064,628	12/27/77	Weitzman	32	14B	03/08/76
Λ1	2. 4,138,814	02/13/79	Weitzman	32	14 B	12/22/77
	3. 4,902,227	02/20/90	Smith	433	215	05/04/88
A1	4. 5,051,476	09/24/91	Uji et al.	525	186	03/23/90
Λ1	5. 5,085,585	02/04/92	Zimble	433	80	02/05/91
1 A1	6. 5,211,559	05/18/93	Hart et al.	433	. 80	06/02/92
///// 11	7. 5,356,291	10/18/94	Darnell	433	216	01/26/93
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Examiner: Date Considered: 10 · 27-0

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Sheet 2 of 3 Form PTO-1449 Peter M. Alfred et al. Applicant: 10/646,484 Serial No.: Att'y Docket No.: 7678.791 August 22, 2003 Filing Date: Group: 3749 Compositions and devices having a tray-like configuration for delivering an oral For: medicament and methods of manufacturing and using such compositions and devices 5,376,006 12/27/94 Fischer 433 215 09/24/92 11/12/96 McClintock, II 80 A 19. 5,573,399 433 10/24/94 05/20/97 A20. 5,631,000 Pellico 424 53 03/11/96 -Λ21. 5,702,251 12/30/97 McClintock, II 433 80 05/31/96 05/16/96 A22. 5,752,826 Andreiko 433 41 05/19/98 Λ23. 12/22/98 Fischer 5,851,512 424 . 49 05/30/97 Λ24. 5,895,218 04/20/99 Quinn et al. 08/10/98 433 80 424 **A25.** 5,922,307 07/13/99 Montgomery 53 09/25/96 11/16/99 Fischer A26. 5,985,249 424 49 10/14/97 A27. 6,089,869 07/18/00 Schwartz 433 215 03/15/99 **A28.** 6,309,625 10/30/01 Jensen et al. 424 49 11/12/98 A29. 6,312,671 11/06/01 Jensen et al. 424 53 12/20/99 A30. 6,331,292 12/18/2001 Montgomery 53 424 11/16/98 A31. 6,364,665 04/02/02 Trettemerp 433 215 04/04/00 A32. 04/30/02 6,379,147 Georgakis et al. 433 37 06/26/00 6,488,914 12/3/2002 Montgomery 424 53 10/31/01 Foreign Patent Documents Document No. **Publication Date** Country or Pat Office WO 03/000216 3 Jan 2003 WIPO WO 88/00869 22 September 1988 WIPO Examiner: Date Considered:

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Form PTO-1449

Sheet 3 of 3

Applicant:

Peter M. Allred et al.

Serial No.:

10/646,484

Att'y Docket No.: 7678,791

Filing Date:

August 22, 2003

Group: 3749

For:

Compositions and devices having a tray-like configuration for delivering an oral medicament and methods of manufacturing and using such compositions and devices

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form Pro-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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